

# Regulatory Impact Statement (SAPA §202-a)

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## INSTRUCTIONS: Please read before submitting a statement.

An acceptable “Regulatory Impact Statement” (RIS) consists of the following item headings and the explanatory information provided by the agency. The full text, including item headings must be typed in scannable format as described in the Department of State’s *Register* procedures manual, *Rule Making in New York*. The *italicized* text shown in parentheses following each item heading is instructional only, and should not appear in the final statement. If the submission is a revised or consolidated regulatory impact statement, be sure to add the word “Revised” or “Consolidated” to the title. If the statement exceeds 2,000 words, submit a summary, **and post the full text on your agency’s website no later than date of publication of the notice in the Register.**

**\*NOTE:** Summaries of scientific or statistical studies, reports and analyses submitted pursuant to SAPA §202-a(3)(b) (Needs and Benefits) are not included in this 2,000-word limit

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### Regulatory Impact Statement

1. **Statutory authority:** *(Explain the rationale used by your agency to determine that the statutory authority authorize the rule.)*
2. **Legislative objectives:** *(Explain how the proposal accords with the public policy objectives the Legislature sought to advance by enacting the statutory authority.)*
3. **Needs and benefits:** *(Explain the purpose of, the necessity for, and benefits derived from the rule. A citation and summary, not to exceed 500 words, for each scientific or statistical study, report or analysis that served as the basis for the rule, an explanation of how it was used to determine the necessity for and benefits derived from the rule, and the name of the person that produced each study, report or analysis.)*
4. **Costs:** *(A statement detailing the projected costs of the rule, including responses to a, b and c; or d:*
  - a. *costs to regulated parties for the implementation of and continuing compliance with the rule;*
  - b. *costs to agency, the state and local governments for the implementation and continuation of the rule; and*
  - c. *the information, including the source(s) of such information and the methodology upon which the cost analysis is based;**OR d. where an agency finds that it cannot fully provide a statement of costs, a statement setting forth the agency’s best estimate, which shall indicate the information and methodology upon which the estimate is based and the reason(s) why a complete cost statement cannot be provided.)*
5. **Local government mandates:** *(Describe any program, service, duty or responsibility imposed by the rule upon any county, city, town, village, school district, fire district or other special district.)*
6. **Paperwork:** *(Describe the need for any reporting requirements, including forms and other paperwork that would be required as a result of the rule.)*
7. **Duplication:** *(Identify relevant rules and other legal requirements of the state and federal governments, including those that may duplicate, overlap or conflict with the rule. Identify efforts agency has or will undertake to resolve or minimize the impact of any duplication, overlap or conflict on regulated persons, including but not limited to seeking waivers or amendments of or exemptions from such other rules or legal requirements, or entering into a memorandum of understanding or other agreement regarding same.)*
8. **Alternatives:** *(Describe any significant alternative proposals that were given consideration before deciding on the final proposal and for each alternative, explain way the alternatives were rejected in favor of this proposal. If there were no significant alternatives to be considered, state that fact.)*
9. **Federal standards:** *(Identify whether the rule exceeds any minimum standards of the federal government for the same or similar subject areas and, if so, provide an explanation of why the rule exceeds such standards.)*
10. **Compliance schedule:** *(Indicate the estimated period of time needed to enable regulated persons to achieve compliance with the rule.)*